

# Emergent Gravity from the Entanglement Structure in Group Field Theory

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# Introduction

- Quantum gravity: why and how Einstein theory of gravity should be quantized?
- Approach: non-perturbative quantization approaches. Group field theory (GFT) [[Krajewski, 2011](#)]
- Emergent gravity:
  - quantum entanglement [[Banks, 2020](#); [Dieks et al., 2015](#); [Faulkner et al., 2013](#); [Fukuma et al., 2003](#); [Hubeny et al., 2007](#); [Kitaev and Suh, 2019](#); [Maldacena and Stanford, 2016](#); [Maldacena and Susskind, 2013](#); [Maldacena et al., 2016](#); [Mertens et al., 2017](#); [Saad et al., 2018](#); [Van Raamsdonk, 2010](#)];
  - quantum information [[Banks, 2020](#); [Dieks et al., 2015](#); [Faulkner et al., 2022](#); [Qi, 2018](#)]

# A emergent gravity model

Break down of the model introduced by Sung-Sik Lee in [Lee, 2020].

- Fields:  $\Phi_x^A$ ,  $A$  labels species called colors,  $x$  labels sites,  $\langle \Phi_x^A | \Phi_y^B \rangle = \delta^{A,B} \delta_{x,y}$
- Conjugate momentum defined by  $\Pi_A^x$ ,  $[\Phi_x^A, \Pi_B^y] = i \delta_B^A \delta_x^y$ .
- Hilbert space  $\mathbb{H} = \bigotimes_x \mathbb{H}_x$ , called a frame.
- Spacial Hamiltonian constraint  $\hat{\mathcal{G}}_Y = \text{tr}\{\hat{\mathcal{G}}Y\}$  with  $\hat{\mathcal{G}}_y^x = \frac{1}{2}(\hat{\Pi}_A^x \hat{\Phi}_y^A + \hat{\Phi}_y^A \hat{\Pi}_A^x)$ .
- Temporal Hamiltonian constraint  $\hat{\mathcal{H}}_V = \text{tr} \left[ \left( -\hat{\Pi} \hat{\Pi}^T + \frac{\tilde{\alpha}}{M^2} \hat{\Pi} \hat{\Pi}^T \hat{\Phi}^T \hat{\Phi} \hat{\Pi} \hat{\Pi}^T \right) V \right]$
- A path-integral formula  $\langle 0 | \chi \rangle = \int \mathcal{D}\Phi \mathcal{D}k \mathcal{D}v \mathcal{D}y e^{iS} \chi(\Phi, k)$ ,  
 $S = - \int d\tau \text{tr} [(-\phi(\tau) \partial k(\tau) - V(\tau) \mathcal{H}(\tau) - Y(\tau) \mathcal{G}(\tau))]$
- Collective variables, provided by  $k$ , decides the dimension, topology and geometry of the spacetime, hence the full spacetime is emergent.

# Group field theory

Functional  $\Phi(\mathbf{g})$ ,  $\mathbf{g} = \{g_1, g_2, \dots, g_D\}$ ,  $D$  dimension of the spacetime. Action

$$S[\Phi] = K[\Phi] - V[\Phi], \quad (1)$$

where  $K[\Phi]$  is the kinetic term,

$$K[\Phi] = \frac{1}{2} \left( \prod_i^D \int dg_i d\tilde{g}_i \right) \Phi(g_i) \mathcal{K}(g_i \tilde{g}_i^{-1}) \Phi(\tilde{g}_i). \quad (2)$$

example for potential term

$$\frac{\lambda}{(D+1)!} \left( \prod_{i \neq j=1}^{D+1} \int dg_{ij} \right) \Phi(g_{1j}) \cdots \Phi(g_{(D+1)j}) \mathcal{V}(g_{ij} g_{ji}^{-1}). \quad (3)$$

$\Phi(\mathbf{g}, \chi)$ ,  $\chi$  relational clock [Wilson-Ewing, 2019]. Peter-Weyl expansion

$$\Phi(\vec{g}) = \sum_{j_i, m_i, n_i, \iota} \phi_{\vec{m}}^{\vec{j}, \iota} \mathcal{I}_{\vec{n}}^{\vec{j}, \iota} \prod_{a=1}^{d+1} \frac{1}{d(j_a)} D_{m_a n_a}^{j_a}(g_a), \quad (4)$$

action:

$$S[\phi] = \frac{1}{2} \sum_J \int d\chi \sum_{n=0}^{\infty} \phi_J(\chi) \mathcal{K}_J^{(2n)} \partial_\chi^{(2n)} \phi_J(\chi), \quad (5)$$

$$\mathcal{K}_J^{(2n)} = \int d\delta\chi \frac{1}{(2n)!} \mathcal{K}_J((\delta\chi)^2) ((\delta\chi)^2)^n. \quad (6)$$

$$\pi_J(\chi) = \frac{\delta S[\phi]}{\delta(\partial_\chi \phi_J(\chi))} = -\mathcal{K}_J^{(2)} \frac{\partial \phi_J(\chi)}{\partial \chi}, \quad (7)$$

Hamiltonian

$$\mathcal{H} = - \sum_J \left[ \frac{\pi_J(\chi)^2}{2K_J^{(2)}} + K_J^{(0)} \frac{\phi_J(\chi)^2}{2} \right]. \quad (8)$$

GFT entanglement graph state (EG-state) [Colafranceschi and Oriti, 2021] Fock space:

$$\mathcal{F} = \bigoplus_{V=1}^{\infty} \text{sym}(\mathcal{H}^1 \otimes \cdots \otimes \mathcal{H}^V), \quad (9)$$

Projection operator:

$$\mathbb{P}_i^x \otimes y := \int dh_i^{xy} dg_i^x dg_i^y |g_i^x\rangle \langle g_i^x| \otimes |g_i^y\rangle \langle g_i^y| h_i^{xy}, \quad (10)$$

$$\mathbb{P}_i^x \otimes y |\psi\rangle = \int \prod_x d\mathbf{g}^x \int \left( \prod_{l \in \gamma} dh_l \right) \psi(\cdots, g_i^x h_l, \cdots, g_i^y h_l, \cdots) \otimes_x |\mathbf{g}^x\rangle. \quad (11)$$

EG-state

$$|\psi_\gamma\rangle = \prod_{x < y} \prod_{A_{xy}^i=1} \mathbb{P}_i^x \otimes y |\psi\rangle = \int \prod_x d\mathbf{g}^x \int \prod_{x < t_i(x)} d\mathbf{h}^{xt(x)} \psi(g_i^x h_i^{xt_i(x)}) \otimes_x |\mathbf{g}^x\rangle, \quad (12)$$

# The model

$\Phi(\mathbf{g}_x, \lambda_x)$ ,  $\lambda_x = \sum_y \chi_x^i \chi_y^i$ , enabling the correlations between vertices after introducing projection operators, Action:

$$S = \sum_J \int d\lambda_x d\delta\lambda_x \varphi_J(\lambda_x) K(\delta\lambda_x) \varphi_J(\lambda_x + \delta\lambda_x), \quad (13)$$

where  $\varphi_J$  is a functional mode within the action, and  $J = \{\mathbf{j}, \mathbf{m}, \iota\}$  is the collection of the representations  $j$ , the magnetic-numbers  $m$  on the semi-links, as well as the intertwiners  $\iota$  on the vertices. Tylor expansion:

$$S = \int d\lambda_x (K^{(0)} \varphi_x^2 + K^{(1)} \varphi_x \partial_\lambda \varphi_x - \frac{1}{2} K^{(2)} \partial_\lambda \varphi_x \partial_\lambda \varphi_x), \quad (14)$$

with

$$K^{(n)} = \int d(\delta\lambda) K(\delta\lambda) (\delta\lambda)^n. \quad (15)$$

The conjugate momentum of  $\varphi_x$  is

$$\pi_x \equiv \frac{\delta \mathcal{L}}{\delta(\partial_\lambda \varphi)} = K^{(1)} \varphi_x - K^{(2)} \partial_\lambda \varphi_x. \quad (16)$$

Legendre transform gives Hamiltonian:

$$H = \left( -K^{(0)} - \left( \frac{1}{2} + \alpha \right) \frac{(K^{(1)})^2}{K^{(2)}} \right) \varphi_x^2 + - \left( \frac{1}{2} + \alpha \right) \frac{\pi_x^2}{K^{(2)}} \\ + (1 + 2\alpha) \frac{K^{(1)}}{K^{(2)}} \varphi \pi + \alpha K^{(2)} \partial_\lambda \varphi_x \partial_\lambda \varphi_x. \quad (17)$$

Momentum space:

$$H(k_x) = -\frac{1}{4K^{(2)}} \pi_x(k_x)^2 + \frac{K^{(2)}}{4} k_x \varphi(k_x) k_x \varphi(k_x) \\ + \frac{K^{(1)}}{2K^{(2)}} \varphi(k_x) \pi(k_x) + \frac{K^{(2)}}{4} \left( -\frac{4K^{(0)}}{K^{(2)}} - \frac{(K^{(1)})^2}{(K^{(2)})^2} \right) \varphi_x^2. \quad (18)$$



# Emergent gravity

$\varphi_x = \sum_y \phi(\chi_x^i) \phi(\chi_y^i)$ ,  $\phi_x^i = \phi(\chi_x^i)$ , the conjugated momenta

$$\omega_x^i = \pi \sum_{y \neq x} \phi_y^i. \quad (19)$$

define  $k_x = l \sum_y \omega_x^i \omega_y^i$ ,  $1 / \sum_y \phi_{y \neq x}^k \sum_{z \neq x} \phi_z^k = N_x$ , set  $l^2 = N_x$ , then defining  $N_x / K^{(2)}$  as the lapse function, the temporal Hamiltonian constraint then becomes

$$\frac{N_x}{4K^{(2)}} \left( -\omega_x^i \omega_x^i + (K^{(2)})^2 \sum_{y,z} \omega_x^i \omega_y^i \phi_y^j \phi_z^j \omega_z^k \omega_x^k \right). \quad (20)$$

the third term (spacial Hamiltonian constraint)

$$\frac{K^{(1)}}{2K^{(2)}} \varphi(k_x) \pi(k_x) = \frac{K^{(1)}}{2K^{(2)}} \phi_x^i \omega_x^i, \quad (21)$$

This is the Hamiltonian in the emergent gravity model [Lee, 2020]

# Path-integral and RG flow

the Schrödinger equation:

$$\hat{H}|\Psi_\Gamma\rangle = i\hbar \frac{d|\Psi_\Gamma\rangle}{d\lambda}. \quad (22)$$

For infinitesimal time-steps, the Schrödinger equation applied to the EG-states entails

$$|\Psi_\Gamma(\lambda + \delta\lambda)\rangle = e^{-i\hat{H}\delta\lambda/\hbar}|\Psi_\Gamma(\lambda)\rangle. \quad (23)$$

For an infinitesimal step

$$\langle\Psi_{\Gamma'}|\Psi_\Gamma\rangle = \langle\Psi_{\Gamma'}; \lambda'|e^{-i\hat{H}\delta\lambda/\hbar}|\Psi_\Gamma; \lambda\rangle. \quad (24)$$

EG-state can be expressed by [\[Colafranceschi and Oriti, 2021\]](#)

$$|\psi_\Gamma\rangle = \sum_J \psi_J(\lambda_{xy}) \prod_{A_{xy}=1} \delta_{J_x J_y} |\theta_{\Gamma,J}(\{g_{xy}\}); \lambda_{xy}\rangle, \quad (25)$$

$|\theta_{\Gamma,J}(\{g_{xy}\})\rangle$  basis elements of the graph states,  $A_{xy}$  adjacency matrix.

In momentum space

$$|\Psi_\Gamma\rangle = \int \mathcal{D}k e^{-ik^{xy}\lambda_{xy}} \psi(k_{xy}) \prod_{A_{xy}=1} |\theta_\Gamma(\{g_{xy}\}); k_{xy}\rangle. \quad (26)$$

Inserting virtual slices

$$\langle \Psi_{\Gamma'} | \Psi_\Gamma \rangle = \int \mathcal{D}k \mathcal{D}\theta \langle \Psi'_{\Gamma'} | e^{-i\hat{H}\delta\lambda} | \theta^{(N-1)}; k^{(N-1)} \rangle \times \dots \times \langle \theta^{(1)}; k^{(1)} | e^{-i\hat{H}\delta\lambda} | \Psi_\Gamma \rangle, \quad (27)$$

We also insert virtual slices labeled by  $\lambda^{(n)}$  to evaluate the path integral. The inner product among  $|\theta_{\Gamma,J}(\{g_{xy}\})\rangle$ 's equals 1 or vanishes; the inner product among  $|\lambda^{(n)}\rangle$  shows time evolution. The path should be constrained by shift tensor  $N_s^{xy}$  and lapse tensor  $N_l^{xy}$ .

$$\begin{aligned}\text{tr}\{N_l C_0\} &= N_l^{xy} \left( -\frac{1}{4K^{(2)}} \pi_x \pi_y + \frac{K^{(2)}}{4} k_x \phi_x k_y \phi_y \right), \\ \text{tr}\{N_s C\} &= N_s^{xy} \frac{K^{(1)}}{2K^{(2)}} \pi_x \phi_y,\end{aligned}\tag{28}$$

the path integral becomes

$$\langle \Psi_{\Gamma'} | \Psi_{\Gamma} \rangle = \int \mathcal{D}\lambda \mathcal{D}k \mathcal{D}N_s \mathcal{D}N_l \psi_{\Gamma}(k_{xy}) \psi_{\Gamma'}(k'_{xy}) e^{iS[N_s, N_l]},\tag{29}$$

with the action

$$S[N_s, N_l] = \int -d\lambda \left( \sum_{xy} k_{xy} \partial_{\lambda} \lambda_{xy} - \text{tr}\{C N_s\} - \text{tr}\{C_0 N_l\} \right)\tag{30}$$

For an infinitesimal step from  $\lambda_1$  to  $\lambda_2$ , the action (30) can be recast as  $S = S_2 - S_1$ . Consider

$$|S_1\rangle = \int \mathcal{D}\lambda e^{S_1} |\lambda\rangle, \quad (31)$$

and act similarly for the final state  $|S_2\rangle$ . The Fourier transform of the state gives

$$|S_1(k)\rangle = \int \mathcal{D}k \mathcal{D}\lambda e^{S_1(k_{xy})} |k\rangle. \quad (32)$$

Their inner product is shown in [Lee, 2016] as a coarse grain in a Wilsonian RG flow, hence the path-integral provides an RG flow.

$\phi_x^i = \phi_{x,a}^i dx^a$ , and make a correspondence  $\phi_a^i \sim e_a^i$ ,  $\varphi_x \propto g_{ij} e_a^i e_b^j = g_{ab}$  is the metric of the physical spacetime, and the Schrödinger equation then describes the flow of the metric, a Ricci flow.

# Ashtekar formalism of GR

Foliating the spacetime in a series of time-slices, the Einstein-Hilbert action can be recast as [\[Arnowitt et al., 1959\]](#)

$$S = \int dt dx^3 (\pi^{ab} \dot{q}_{ab} - NC(\pi, q) - 2N^a C_a(\pi, q)), \quad (33)$$

ADM form of the metric

$$ds^2 = -(N^2 - N_a N^a) dt^2 + 2N_a dx^a dt + q_{ab} dx^a dx^b. \quad (34)$$

$$C = G_{abcd} \pi^{ab} \pi^{cd} - \sqrt{q} R[q], \quad (35)$$

$$C_a = D_a \pi^{ab}, \quad (36)$$

Using Ashtekar variables [Ashtekar, 1986]

$$A_a^i = \Gamma_a^i[e] + \beta k_a^i, \quad (37)$$

The conjugate momentum of  $A_a^i$  is the densitized triad,

$$E_a^i = |\det(e)| e_a^i. \quad (38)$$

the Hamiltonian constraints can be written as

$$H[N] = \int dx^3 N \epsilon_{ijk} F_{ab}^i E^{aj} E^{bk}, \quad (39)$$

$$D[\vec{N}] = \int dx^3 N^a F_{ab}^i E^{bi}, \quad (40)$$

where  $F_{ab}^i = \partial_a A_b^i - \partial_b A_a^i + \epsilon_{ijk} A_a^j A_b^k$  is the curvature of the Ashtekar connection.  
Gauss constraint

$$G[\Lambda] = \int dx^3 \Lambda^i D_a E_a^i. \quad (41)$$

We propose the correspondence

$$\phi_a^i \sim E_a^i, \quad \omega_a^i \sim A_a^i, \quad (42)$$

considering the background topology as a G-bundle, SU(2), we have

$$\begin{aligned} \pi_x^2 &= \omega_x^i \phi_{x,i} \omega_x^j \phi_{x,j} / \varphi_x^2 \\ &= [\varpi_x^i \wedge \omega_x^j] \cdot [\phi_{x,i} \wedge \phi_{x,j}] / \varphi_x^2 \\ &= \epsilon^{ij} T^k \omega_{x,a}^i \omega_{x,b}^j \epsilon_{ijk} T^k \phi_{x,i}^a \phi_{x,j}^b / \varphi_x^2, \end{aligned} \quad (43)$$

$\omega_a \sim \partial_a \sim D_a$ ,  $\epsilon^{ijk} \omega_a^i \omega_b^j = \epsilon^{ijk} \omega^i D_a \omega_b^j = F_{ab}^k$ . The time constraint then becomes

$$H[N] = \int dr^3 \left( -\frac{1}{2K^{(2)}\varphi^2(\mathbf{r})} \right) \epsilon_{ijk} F_{ab}^k(\mathbf{r}) \phi^{ia}(\mathbf{r}) \phi^{ib}(\mathbf{r}). \quad (44)$$



Expanding  $\pi_y$  around  $\pi_x$ , the spacial constraint

$$\begin{aligned}
 C_s &= \text{tr}\{N^{xy}\phi_x^i(\omega_{x,i} + \partial_a\omega_{y,i}|_{y=x}(r_y^a - r_x^a))\} \\
 &= \sum_{x,y} (N^{xy}\phi_x^i\omega_{x,i} + N^{xy}\phi_x^i\partial_a\omega_{y,i}|_{y=x}\delta r_{xy}^a) \\
 &= \sum_x N_x\phi_x^i\omega_{x,i} + N_x^a\phi_x^i D_a\omega_{x,i}.
 \end{aligned} \tag{45}$$

$N_x = \sum_y N_{x,y}$  and  $N_x^a = \sum_y N_{xy}\delta r_{xy}^a$ ,  $\delta r_{xy}^a = (r_y^i - r_x^i)$ , it is a sum over

$$D[\vec{N}] = \int dr^3 N^a(\mathbf{r})\phi_i^b(\mathbf{r})F_{ab}^i(\mathbf{r}), \tag{46}$$

which is the spatial Hamiltonian constraint in Eq. (40) and

$$\begin{aligned}
 G[\Lambda] &= \int dr^3 N(\mathbf{r})\omega_i(\mathbf{r})D_a\phi^{a,i}(\mathbf{r}) \\
 &= \int dr^3 \Lambda_i(\mathbf{r})D_a\phi^{a,i}(\mathbf{r}),
 \end{aligned} \tag{47}$$

as the Gauss constraint.

# Our work: Imaginary Hamiltonian

$$\hat{a}_J(k) = A_J(k)\varphi_J(k) + \frac{i}{2A_J}\hat{\pi}_J(k), \quad (48)$$

$$\hat{a}_J^\dagger(k) = A_J(k)\varphi_J(k) - \frac{i}{2A_J}\hat{\pi}_J(k), \quad (49)$$

Hamiltonian (18) becomes

$$\hat{H} = \sum_J \left( \text{sgn}(K_J^{(2)}) \frac{|\varpi_J(k)|}{2} (\hat{a}_J^\dagger \hat{a}_J + \frac{1}{2}) + \frac{i}{2} ((\hat{a}_J^\dagger)^2 - \hat{a}_J^2 + 1) \right). \quad (50)$$

Complex action theory (CAT) [Nagao and Bech Nielsen, 2015; Nagao and Nielsen, 2011a,b, 2012, 2013, 2017a,b, 2022; Nielsen and Ninomiya, 2006].

Periodic CAT introduced in [Nagao and Nielsen, 2022], related to our work: as the entanglement, i.e., the relational clock undergoes a monotonously increase, and the EG-states evolve periodically, implying a cyclic universe.

# Summary

- Emerges from the entanglement structure of Group Field Theory, following a RG flow that is generated by the Schrödinger equation of a system with non Hermitian Hamiltonian;
- Entails the Ashtekar formulation of gravity at the scale defined by the mass term in the Hamiltonian;
- Provides a straightforward link among general relativity and quantum mechanics;
- Evade the problem of time and the problem of nonrenormalizability of quantum gravity.

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